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Docket No.: 06727/100I204-US1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shlomo Shlafman et al.

Application No.: 09/768,697 Confirmation No.: 3714

Filed: January 23, 2001 Art Unit: 3693

For: ANALYSIS OF FINANCIAL DERIVATIVES Examiner: R. C. Weisberger

AMENDMENT AFTER ALLOWANCE UNDER 37 C.F.R. 1.312

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

Prior to issuance of the patent, applicant respectfully requests entry on this amendment under 37 C.F.R. 1.312 for the above-captioned patent application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.